

## Audit & Governance Committee – work plan

Training/briefing events will be held at appropriate points in the year to support members in their role on the Committee.

Theme	Item	Lead officers	Scope
<b>27<sup>th</sup> November 2024</b>			
Finance	Treasury Management Monitor 2	<u>CYC</u> <u>Debbie Mitchell</u>	
Finance	No Purchase Order No Pay	<u>CYC</u> <u>Debbie Mitchell / Helen Malam</u>	
Governance	Report on Suggested Constitutional Changes	<u>CYC</u> <u>Bryn Roberts</u>	
Governance	Independent Person recruitment update	<u>CYC</u> <u>Lindsay Tomlinson</u>	
Governance	Polling District and Polling Place Review	<u>CYC</u> <u>Lindsay Tomlinson / Emma Calvert</u>	
Veritau (internal audit / counter fraud)	Internal Audit Progress Report	<u>Veritau</u> <u>Max Thomas / Richard Smith</u>	
External Audit	External Audit Plan (Audit Strategy Memorandum) 2023/24	<u>Mazars</u> <u>Mark Outterside</u>	
<b>29<sup>th</sup> January 2025</b>			
Risk	Key Corporate Risks monitor 3	<u>CYC</u> <u>Helen Malam</u>	Update on Key Corporate Risks (KCRs).
Finance	Final Statement of Accounts 2023/24	<u>CYC</u>	

		<u>Debbie Mitchell / Helen Malam</u>	
Finance	Treasury Management Monitor 3	<u>CYC</u> <u>Debbie Mitchell</u>	
Finance	Treasury Management Strategy	<u>CYC</u> <u>Debbie Mitchell</u>	
Governance	Annual A&G Report for Council	<u>CYC</u> <u>Bryn Roberts / Chair of A&amp;G</u>	
Governance	Corporate Governance report	<u>CYC</u> <u>Lorraine Lunt</u>	To provide Members with an update on corporate governance including issues. To also include data on repeated FOI/EIR requests and an update on the covert surveillance policy.
Governance	Internal Audit into Contract Management –	<u>Veritau</u> <u>Max Thomas/ Richard Smith</u>	Progress update.
Governance	Polling District and Polling Place Review	<u>CYC</u> <u>Lindsay Tomlinson / Emma Calvert</u>	To report on the outcome of consultation exercise.
Veritau (internal audit / counter fraud)	Internal Audit Workplan Consultation	<u>Veritau</u> <u>Max Thomas/ Richard Smith</u>	
Veritau (internal audit / counter fraud)	Internal Audit & Counter Fraud Progress Report	<u>Veritau</u> <u>Max Thomas/ Richard Smith</u>	
Veritau (internal audit / counter fraud)	Audit Committee Self-Assessment	<u>Veritau</u> <u>Max Thomas / Richard Smith</u>	To share the results of the self-assessment undertaken.
External Audit	Audit Completion Report 2023/24	<u>Mazars</u> <u>Mark Outterside</u>	
<b>26<sup>th</sup> March 2025</b>			
Veritau (internal audit / counter fraud)	Internal Audit Work Programme	<u>Veritau</u>	

		<u>Max Thomas/ Richard Smith</u>	
Veritau (internal audit / counter fraud)	Counter Fraud Risk Assessment and Workplan	<u>Veritau</u> <u>Max Thomas/ Richard Smith</u>	
Risk	Key Corporate Risks monitor 4	<u>CYC</u> <u>Helen Malam</u>	Update on Key Corporate Risks (KCRs).
Governance	York Open Data Update	<u>CYC</u> <u>Ian Cunningham /</u> <u>Debbie Mitchell</u>	
<b>May 2025</b>			
Veritau (internal audit / counter fraud)	Annual Report of the Head of Internal Audit	<u>Veritau</u> <u>Max Thomas/ Richard Smith</u>	
Veritau (internal audit / counter fraud)	Annual Counter Fraud Report	<u>Veritau</u> <u>Max Thomas/ Richard Smith</u>	

**Upcoming items:**

- Councillor Code of Conduct